INDEX NO. 160569/2013

NYSCEF DOC. NO. 148

MOTION/CASE IS RESPECTFULLY REFERRED TO JUSTICE

SUPREME COURT OF THE STATE OF NEW YORK NEW YORK COUNTY

PRESENT: GEOFFREY Wright Justice	PART <u>47</u>
(Index Number : 160569/2013	INDEX NO. 160569 13
WORMSER, KIELY, GALEF &	MOTION DATE
FRUMKIN, JACOB SEQUENCE NUMBER: 003	MOTION SEQ. NO. 3
REARGUMENT/RECONSIDERATION	
The following papers, numbered 1 to <u>3</u> , were read on this motion to/for Notice of Motion/Order to Show Cause — Affidavits — Exhibits	No(s)
	• • • •
Answering Affidavits — Exhibits Replying Affidavits	
CPLR 2221 to resettle the Court's order is gran the amended court order.	
	C#G
	GEOFFREY D. WRIGHT
Dated: Feb. 210, 2015	GEOFFREY D. WRIGHT AJSC , J.S.C.
Dated: <u>Fe.b. 216, 2015</u> CK ONE:	, J.S.C
	AJSC

At Part 47 of the Supreme Court of the State of New York, held in and for the County of New York, at 111 Centre Street, New York, NY 10013 on Feb. 26, 2015.

PRESENT:

HON. GEOFFREY D.S. WRIGHT Justice

WORMSER, KIELY, GALEF & JACOBS LLP,

Plaintiff.

Index No. 160569/13

ORDER

- against -

Motion Seq. No. 002

JACOB FRUMKIN, individually and as Managing Member of Hamilton Heights Partners, LLC, and HAMILTON HEIGHTS PARTNERS, LLC,

Defendants.

WHEREAS, Plaintiff Wormser, Kiely, Galef & Jacobs, LLP, moved this Court for an Order pursuant to CPLR §§ 3211(a)(1), (6) and (7) dismissing the Counterclaims interposed by Defendants Jacob Frumkin, as Managing Member of Hamilton Heights Partners, LLC, and Hamilton Heights Partners, LLC (collectively, "Defendants") ("Motion"), and Defendants having opposed the Motion, and the Court having received and reviewed Plaintiff's Affirmation, Memorandum of Law, and exhibits in support of the Motion, Defendants' Affirmation, Affidavits, Memorandum of Law and exhibits in opposition to the Motion, and Plaintiff's Reply Affirmation, Reply Memorandum of Law, and exhibits in further support of the Motion, all of which are filed and included in the range of NYSCEF Doc. Nos. 73-116; and

WHEREAS, the Motion having duly come on to be heard and, after due deliberation, the

Court having issued a Decision and Order, dated November 13, 2014, and entered November 14, 2014 (NYSCEF Doc. No. 117), and in which counsel was directed to settle an order,

NOW, IT IS HEREBY ORDERED that the Motion is disposed of as follows:

- That portion of the Motion that sought to dismiss Defendants' First Counterclaim, entitled "Legal Malpractice Sounding in Negligence and/or Breach of Fiduciary Duty," is DENIED, except that the motion is GRANTED as to Defendants' allegations that Plaintiff committed malpractice by:
 - a. failing to prepare or rehabilitate Frumkin as a witness;
 - failing to properly present, draft, research, submit the record for, and argue a legally sufficient cross motion to vacate the underlying arbitration award;
 - c. misrepresenting its legal experience, quality of its attorneys, and the staffing for the matter;
 - d. failing to advise Defendants as to fraud damages;
 - e. failing to advise Defendants properly about witness O'Brien;
 - e. failing to provide an objective assessment of Defendants' case; and
 - f. failing to adequately advise Defendants of the tax consequences of settlement proposals.
- 2. That portion of the Motion that sought to dismiss Defendants' Second Counterclaim, entitled "Rescission of the May 13, 2010 Retainer Agreement Based Upon Negligent or Fraudulent Misrepresentation," is GRANTED.
- 3. That portion of the Motion that sought to dismiss Defendants' Third Counterclaim, entitled "Declaratory Judgment Based Upon Violation of the

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NewYork Rules of Professional Conduct and Sounding in 'Money Had and Received,'" is GRANTED.

- 4. That portion of the Motion that sought to dismiss Defendants' Fourth Counterclaim, entitled "Breach of Contract," is GRANTED.
- 5. That portion of the Motion, which was raised for the first time on Reply, to convert the Motion to one for summary judgment is DENIED.
- 6. That portion of the Motion that sought costs, including reasonable attorneys' fees, is DENIED.
- 7. That portion of the Motion that sought to dismiss those aspects of Defendants' counterclaims seeking the recovery of attorneys' fees is GRANTED.

IT IS FURTHER ORDERED that a copy of this Order with Notice of Entry shall be served upon any party entitled to notice within twenty (20) days of entry; and it is further

ORDERED that unless Plaintiff and Defendants determine otherwise by a written stipulation, pursuant to CPLR § 3211(f), Plaintiff shall have ten (10) days after service of Notice of Entry of this Order to interpose its Reply to Defendants' Verified Amended Answer and Counterclaims.

GEOFFREY D. WRIGHT
AJSC

GEOFFREY D.S. WRIGHT, J.S.C.